



2645

PTO/SB/21 (02-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/040,509	<b>RECEIVED</b>  JUL 07 2004  Technology Center 2600
	Filing Date	March 17, 1998	
	First Named Inventor	Ronald A. KATZ	
	Art Unit	2643	
	Examiner Name	S. Woo	
Total Number of Pages in This Submission		Attorney Docket Number	6646-101NJ

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input checked="" type="checkbox"/> CD, Number of CD(s) <u>6</u>	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<b>Remarks</b> Form PTO-1449 Set I, Supplemental IV - XIV (52 pgs); copies of form PTO-1449 signed off by Examiner from 07/335,923 (6646-101N1) Supps. IV-V; copies of form PTO-1449 signed off by Examiner from 08/306,456 (6646-114N5) Supps. VA, VI-IX; copies of form PTO-1449 signed off by Examiner from 09/317,807 (6646-108N5) Supps. X-XII; Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 1 of 3 (16 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 2 of 3 (7 pgs); Information data sheet pursuant to 37 CFR 1.52(e)(3) for Disc 3 of 3 (3 pgs); Return postcard.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Reena Kuypers, Registration No. 33,830
Signature	
Date	June 3, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Clarissa Lo
Signature	
Date	June 3, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

Complete if Known

Application Number 09/040,509

Filing Date March 17, 1998

First Named Inventor RONALD A. KATZ

Examiner Name 2643

Art Unit S. Woo

Attorney Docket No. 6646-101NJ

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 50-1636

Deposit Account Name A2D, LP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2182 2,520	For filing a request for ex parte reexamination	
1804 920*	21804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	21805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	180.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

## SUBMITTED BY

Name (Print/Type) Reena Kuyper

Registration No. 33,830

(Complete (if applicable))

Telephone 310-247-2860

Signature

Date June 4, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/040,509

Filed: March 17, 1998

For: TELEPHONIC-INTERFACE  
STATISTICAL ANALYSIS SYSTEM

) Group Art Unit: 2643  
)  
) Examiner: S. Woo  
)  
) Attorney Docket No.: 6646-101NJ  
)  
) Customer No.: 50-1636

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Further to Forms PTO-1449 submitted before (Set I and SUPPLEMENTAL I, II, and III), Applicant is submitting additional Forms PTO (SUPPLEMENTAL IV-XV) citing possible prior art that may or may not be "material" pursuant to 37 C.F.R. § 1.56. Applicant is submitting the possible prior art simply in the interest of maintaining a complete record with respect to the subject application. Initialed copies of the Forms PTO-1449 (Set I and SUPPLEMENTAL I, II, and III) have not been received as yet by the undersigned.

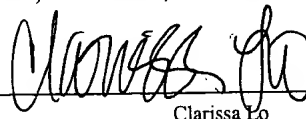
The submission of these documents (cited in SUPPLEMENTAL IV-XV) by the Applicant should not be construed as an admission that any such patent, publication or other information referred to in these documents is material or considered to be material (37 C.F.R. §

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

June 3, 2004

Date

  
Clarissa Lo

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06/08/2004 MAILING 00000001 501636

180.00 DA

01 FC:1806

Application No.: 09/040,509  
Information Disclosure Statement dated: June 3, 2004

1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such. The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

1. Forms PTO-1449 (SUPPLEMENTAL IV and V) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in parent application Serial No. 07/335,923 (issued U.S. Patent No. 6,016,344).
2. Forms PTO-1449 (SUPPLEMENTAL VA and VI through IX) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in a related application Serial No. 08/306,456 (issued U.S. Patent No. 6,335,965) also considered in U.S. Patent No. 6,349,134 (see face of issued patent).
3. Forms PTO-1449 (SUPPLEMENTAL X-XII) cite prior art that was considered (evidenced by the Examiner's signature on the attached copies of Forms PTO-1449) by the Examiner in a related pending application Serial No. 09/317,807.
4. Forms PTO-1449 (SUPPLEMENTAL XIII) cite prior art submitted in Applicant's other related applications (e.g. Serial Nos. 09/239,053 and 09/827,614).
5. Forms PTO-1449 (SUPPLEMENTAL XIV and XV) cite new references that were recently brought to Applicant's attention by a third party.

Pursuant to 37 CFR 1.52(e), Applicant encloses six (6) compact discs. Three are original and labeled "Copy 1" and three are identical and labeled "Copy 2." Pursuant to 37 CFR 1.52(e)(3), Applicant encloses an information data sheet of the references cited on Forms PTO 1449 (IV-XV) as well as scanned copies (in PDF format) of all the references listed.

Application No.: 09/040,509  
Information Disclosure Statement dated: June 3, 2004

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list the references should be placed in this file to make it available for future access by others.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. The Examiner is hereby authorized to charge the requisite fees (mentioned in the accompanying transmittal) and any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated: June 3, 2004

Respectfully submitted,

By: 

Reena Kuyper  
Registration No. 33,830

9220 Sunset Blvd., Suite 303  
Los Angeles, CA 90069  
(310) 247-2860



FORM PTO-1449 SUPPLEMENTAL IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101NJ Applicant Ronald A. Katz Filing Date March 17, 1998	Application No. 09/040,509 Group Art Unit 2643
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,902,541	09/01/59	Singleton	-----	-----	-----
	3,394,246	07/23/68	Goldman	-----	-----	-----
	3,544,769	12/01/70	Hedin	-----	-----	-----
	3,617,638	11/02/71	Jochimsen et al.	-----	-----	-----
	3,696,335	10/03/72	Lemelson	-----	-----	-----
	3,800,283	03/26/74	Gropper	-----	-----	-----
	3,881,160	04/29/75	Ross	-----	-----	-----
	3,889,050	06/10/75	Thompson	-----	-----	-----
	3,912,874	10/14/75	Botterell et al.	-----	-----	-----
	3,918,174	11/11/75	Miller et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	OS 2929416	02/05/81	Germany	-----	-----	-----
	OS 3726366	02/11/88	Germany	-----	-----	-----
	2184327A	06/17/87	United Kingdom	-----	-----	-----

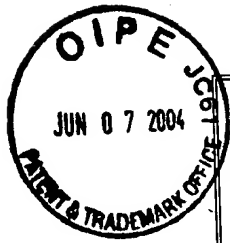
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation
	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation

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EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	



FORM PTO-1449	Docket No. (optional) 6646-101NJ	Application No. 09/040,509
SUPPLEMENTAL IV	Applicant Ronald A. Katz	Group Art Unit 2643
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Filing Date March 17, 1998	<b>RECEIVED</b> JUL 07 2004 Technology Center 2600

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,054,756	10/18/77	Comella et al.	-----	-----	-----
	4,117,278	09/26/78	Ehrlich et al.	-----	-----	-----
	4,162,377	07/24/79	Mearns	-----	-----	-----
	4,191,376	03/04/80	Goldman	-----	-----	-----
	4,241,942	12/30/80	Bachman	-----	-----	-----
	4,242,539	12/30/80	Hashimoto	-----	-----	-----
	4,299,637	11/10/81	Oberdeck et al.	-----	-----	-----
	4,348,554	09/07/82	Asmuth	-----	-----	-----
	4,360,827	11/23/82	Braun	-----	-----	-----
	4,451,087	09/10/85	Comstock	-----	-----	-----
	4,490,583	12/25/84	Bednarz et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0 229 170 A	07/22/87	EPC	-----	-----	-----
	0 568 114 A	11/03/93	EPC	-----	-----	-----
	0 620 669 A	10/19/94	EPC	-----	-----	-----
	500138/88	01/14/88	Japan	-----	-----	-----
	298158/90	12/20/90	Japan	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
C.R. Newson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35						

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	



FORM PTO-1449 SUPPLEMENTAL IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101NJ Applicant Ronald A. Katz Filing Date March 17, 1998	Application No. 09/040,509 Group Art Unit 2643
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,555,594	11/26/85	Friedes et al.	-----	-----	-----
	4,562,342	12/31/85	Solo	-----	-----	-----
	4,567,359	01/28/86	Lockwood	-----	-----	-----
	4,582,956	04/15/86	Doughty	-----	-----	-----
	4,591,190	03/27/86	Clark	-----	-----	-----
	4,614,367	09/30/86	Breen	-----	-----	-----
	4,652,998	03/24/87	Koza et al.	-----	-----	-----
	4,663,777	05/05/87	Szeto	-----	-----	-----
	4,671,512	06/09/87	Bachman et al.	-----	-----	-----
	4,677,553	06/30/87	Roberts et al.	-----	-----	-----
	4,694,490	09/15/87	Harvey et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	41855/91	02/22/91	Japan	-----	-----	-----
	2 230 403 A	10/17/90	United Kingdom	-----	-----	-----
	2,009,937-2	08/21/90	Canada	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-l, 68					
	A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50					
	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43					
	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51					

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FORM PTO-1449	Docket No. (optional) 6646-101NJ	Application No. 09/040,509
SUPPLEMENTAL IV	Applicant Ronald A. Katz	Group Art Unit 2643
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Filing Date March 17, 1998	<b>RECEIVED</b> JUL 07 2004

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,704,725	11/03/87	Harvey et al.	-----	-----	-----
	4,716,583	12/29/87	Groner et al.	-----	-----	-----
	4,764,666	08/16/88	Bergeron	-----	-----	-----
	4,766,604	08/23/88	Axberg	-----	-----	-----
	4,774,655	09/27/88	Kollin et al.	-----	-----	-----
	4,788,718	11/29/88	McNabb et al.	-----	-----	-----
	4,796,293	01/03/89	Blinken et al.	-----	-----	-----
	4,797,910	01/10/89	Daudelin	-----	-----	-----
	4,842,278	06/27/89	Markowicz	-----	-----	-----
	4,847,890	07/11/89	Solomon et al.	-----	-----	-----
	4,882,473	11/21/89	Bergeron et al.	-----	-----	-----
	4,894,857	01/16/90	Szlam et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	9002131	08/21/90	France	-----	-----	-----
	DE 4005365 A1	08/23/90	Germany	-----	-----	-----
	WO93/05483	03/18/1993	PCT	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	"Let your fingers do the tapping... ..and the computer the talking," Modern Office Tech., May 1984 at 80
	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36

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FORM PTO-1449  SUPPLEMENTAL IV  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101NJ Applicant Ronald A. Katz Filing Date March 17, 1998	Application No. 09/040,509 Group Art Unit 2643 <b>RECEIVED</b> JUL 07 2004
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Technology Center 2600

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,899,375	02/06/90	Bauer et al.	-----	-----	-----
	4,942,598	07/17/90	Davis	-----	-----	-----
	4,964,157	10/16/90	Aoshima	-----	-----	-----
	4,965,825	10/23/90	Harvey et al.	-----	-----	-----
	4,969,185	11/06/90	Dorst et al.	-----	-----	-----
	5,017,917	05/21/91	Fisher et al.	-----	-----	-----
	5,018,736	05/28/91	Pearson et al.	-----	-----	-----
	5,023,904	06/11/91	Kaplan et al.	-----	-----	-----
	5,046,183	09/03/91	Dorst et al.	-----	-----	-----
	5,083,272	01/21/92	Walker et al.	-----	-----	-----
	5,097,528	03/17/92	Gursahaney et el.	-----	-----	-----
	5,109,414	04/28/92	Harvey et al.	-----	-----	-----
	5,127,003	06/30/92	Doll, Jr. et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

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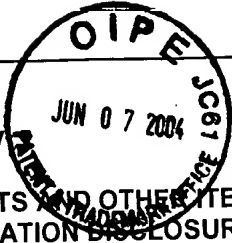


FORM PTO-1449 SUPPLEMENTAL IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-101NJ Applicant Ronald A. Katz Filing Date March 17, 1998	Application No. 09/040,509 Group Art Unit 2643 <b>RECEIVED</b> JUL 07 2004 Technology Center 2600
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,146,491	09/08/92	Silver et al.	-----	-----	-----
	5,181,238	01/19/93	Medamana et al.	-----	-----	-----
	5,233,654	08/03/93	Harvey et al.	-----	-----	-----
	5,255,183	10/19/93	Katz (Penina)	-----	-----	-----
	5,263,723	11/23/93	Pearson et al.	-----	-----	-----
	5,333,185	07/26/94	Burke et al.	-----	-----	-----
	5,335,277	08/02/94	Harvey et al.	-----	-----	-----
	5,351,276	09/27/94	Doll Jr., et al.	-----	-----	-----
	5,353,335	10/04/94	D'Urso et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

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<b>FORM PTO-1449</b> <b>SUPPLEMENTAL V</b> <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643



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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
		3,787,632	01/1974	Male et al.	-----	-----	-----
		3,940,569	02/1976	Schonbrun	-----	-----	-----
		3,987,252	10/1976	Vicari	-----	-----	-----
		4,068,099	01/1978	Mikkola	-----	-----	-----
		4,087,638	05/1978	Hayes et al.	-----	-----	-----
		4,090,034	05/1978	Moylan	-----	-----	-----
		4,160,129	07/1979	Peyser et al.	-----	-----	-----
		4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
		4,221,933	09/1980	Cornell et al.	-----	-----	-----
		4,255,619	03/1981	Saito	-----	-----	-----
		4,360,875	11/1982	Behnke	-----	-----	-----
		4,401,856	08/1983	Curtin et al.	-----	-----	-----
		4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
		4,445,001	04/1984	Bertoglio	-----	-----	-----
		4,517,412	05/1985	Newkirk et al.	-----	-----	-----
		4,518,824	05/1985	Mondardini	-----	-----	-----
		4,549,291	10/1985	Renoulin	-----	-----	-----
		4,585,903	04/1986	Schiller et al.	-----	-----	-----
		4,591,665	05/1986	Foster et al.	-----	-----	-----
		4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
		4,611,096	09/1986	Asmuth et al.	-----	-----	-----
		4,625,081	11/25/86	Lotito et al.	-----	-----	-----

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<b>FORM PTO-1449</b> <b>SUPPLEMENTAL V</b> <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,640,991	02/1987	Matthews et al.	-----	-----	-----
		4,672,660	06/1987	Curtin	-----	-----	-----
		4,685,127	08/1987	Miller et al.	-----	-----	-----
		4,747,124	05/1988	Ladd	-----	-----	-----
		4,759,056	07/1988	Akiyama	-----	-----	-----
		4,761,808	08/1988	Howard	-----	-----	-----
		4,791,666	12/1988	Cobb et al.	-----	-----	-----
		4,797,818	01/1989	Cotter	-----	-----	-----
		4,805,207	02/1989	McNutt et al.	-----	-----	-----
		4,878,240	10/1989	Lin et al.	-----	-----	-----
		4,893,325	01/1990	Pankonen et al.	-----	-----	-----
		4,896,346	1/1990	Belfield et al.	-----	-----	-----
		4,899,373	02/1990	Lee et al.	-----	-----	-----
		4,908,852	03/1990	Hird et al.	-----	-----	-----
		4,922,519	05/1990	Daudelin	-----	-----	-----
		4,933,965	06/1990	Hird	-----	-----	-----
		4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
		5,036,535	07/1991	Gechter et al.	-----	-----	-----
		5,199,062	03/1993	Von Meister et al.	-----	-----	-----
		5,222,120	06/1993	McLeod et al.	-----	-----	-----
		5,299,260	03/1994	Shao	-----	-----	-----
		5,303,298	04/1994	Morganstein	-----	-----	-----

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FORM PTO-1449  
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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,303,299	04/1994	Hunt et al.	-----	-----	-----
		5,354,069	10/1994	Guttman et al.	-----	-----	-----
		5,418,844	05/1995	Morrissey et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
		0 249 795	12/1987	EPC	-----	-----	YES	NO
		2 575 016	07/1986	France	-----	-----		no
		WO 82/02132	06/1982	PCT	-----	-----	yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
	"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
	"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
	Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
	Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
	"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
	The World's Telephones, a Statistical Compilation as of January 1981, AT&T Long Lines, 1981 (Book)
	Engineering and Operations in the Bell System, AT&T Bell Laboratories, 1983 (Book)

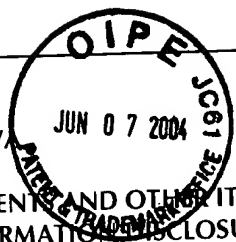
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<b>FORM PTO-1449</b> <b>SUPPLEMENTAL VERIFICATION</b> <b>LIST OF PATENT AND OTHER ITEMS FOR APPLICANT'S INFORMATION AND ENCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,553,378	01/1971	Solomon, et al.	-----	-----	-----
		3,829,628	08/1974	Tripsas	-----	-----	-----
		4,565,903	01/1986	Riley	-----	-----	-----
		4,581,486	04/1986	Matthews, et al.	-----	-----	-----
		4,750,199	06/1988	Norwich	-----	-----	-----
		4,761,807	08/1988	Matthews, et al.	-----	-----	-----
		4,782,519	11/1988	Patel, et al.	-----	-----	-----
		4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
		4,799,255	01/1989	Billinger, et al.	-----	-----	-----
		4,856,066	08/1989	Lemelson	-----	-----	-----
		4,932,021	06/1990	Moody	-----	-----	-----
		4,951,307	08/1990	Willard	-----	-----	-----
		4,959,855	09/1990	Daudelin	-----	-----	-----
		5,033,076	07/1991	Jones, et al.	-----	-----	-----
		5,033,088	07/1991	Shipman	-----	-----	-----
		5,054,059	10/1991	Stern, et al.	-----	-----	-----
		5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
		5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
		5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
		5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
		5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
		5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

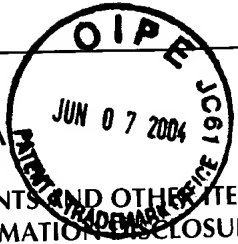
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL VA</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,186,471	02/1993	Vancraeynest	-----	-----	-----
		5,214,689	05/1993	O'Sullivan	-----	-----	-----
		5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
		5,289,531	02/1994	Levine	-----	-----	-----
		5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
		5,361,295	11/1994	Solomon, et al.	-----	-----	-----
		5,369,685	11/1994	Kero	-----	-----	-----
		5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
		5,511,112	04/1996	Szlam	-----	-----	-----
		5,561,710	10/1996	Helms	-----	-----	-----
		5,623,536	04/1997	Solomon, et al.	-----	-----	-----
		5,651,048	07/1997	Leeuw	-----	-----	-----
		5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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	<b>APPLICANT:</b> Ronald A. KATZ	
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
		1162484	04/1967	Great Britain	-----	-----	Yes	
		WO 89/11768	11/1989	PCT	-----	-----	Yes	
		WO 90/10989	09/1990	PCT	-----	-----	Yes	
		WO 90/11661	10/1990	PCT	-----	-----	Yes	
		WO 91/15818	10/1991	PCT	-----	-----	Yes	
		WO 92/06548	04/1992	PCT	-----	-----	Yes	
		WO 92/09164	05/1992	PCT	-----	-----	Yes	
		WO 92/15166	09/1992	PCT	-----	-----	Yes	
		2253542	09/1992	Great Britain	-----	-----	Yes	
		EP 0 382 212 B1	07/1998	EPC	-----	-----	Yes	
		EP 0 382 670 B1	04/1997	EPC	-----	-----	Yes	
		EP 0 438 860 B1	09/1996	EPC	-----	-----	Yes	
		0 451 693 A2	10/1991	EPC	-----	-----	Yes	
		0 451 695 A2	10/1991	EPC	-----	-----	Yes	
		0 453 831 A2	10/1991	EPC	-----	-----	Yes	
		0 454 363 A2	10/1991	EPC	-----	-----	Yes	

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FORM PTO-1449

ATTY. DOCKET NO.  
6646-101NJSERIAL NO.  
09/040,509

SUPPLEMENTAL VI

APPLICANT:  
Ronald A. KATZFILING DATE:  
March 17, 1998GROUP:  
2643LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,727,186	04/1973	Stephenson	-----	-----	-----
	4,599,493	07/1986	Ellis Cave	-----	-----	-----
	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,769,834	09/1988	Billinger et al.	-----	-----	-----
	4,829,563	06/1989	Crockett et al.	-----	-----	-----
	4,832,341	05/23/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,881,261	11/1989	Oliphant et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----

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SERIAL NO.

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SUPPLEMENTAL VI

APPLICANT:

Ronald A. KATZ

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GROUP:

2643

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

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	5,787,156	07/28/98	Katz	-----	-----	-----
	5,790,636	08/1998	Marshall	-----	-----	-----
	5,815,551	09/1998	Katz	-----	-----	-----
	5,835,576	11/10/98	Katz	-----	-----	-----

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	32 25 562	01/19/84	Germany	-----	-----	no
	2 252 270 A	08/1992	Great Britain	-----	-----	yes
	0 217 308 A2	04/08/87	Europe	-----	-----	yes
	0 917 335 A2	05/19/99	Europe	-----	-----	yes
	246067/1988	10/13/88	Japan	-----	-----	no
	009353/1988	01/16/88	Japan	-----	-----	no
	117436/1989	05/10/89	Japan	-----	-----	no
	54-62708	05/21/79	Japan	-----	-----	no
	52-17739	02/09/77	Japan	-----	-----	no
	59-83270	05/14/84	Japan	-----	-----	no
	62-92654	04/28/87	Japan	-----	-----	no
	62-98848	05/08/87	Japan	-----	-----	no
	63-114442	05/19/88	Japan	-----	-----	no


## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Basinger, R. G., et al., "Calling Card Service - Overall Description and Operational Characteristics",  
The Bell System Technical Journal, September, 1982

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	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation", The Bell System Technical Journal, September, 1982
	Eigen, D.J., et al., "Calling Card Service - Human Factors Studies", The Bell Technical Journal, September, 1982
	Lexis Search , 11/1/84, re: System 85 Computer Process
	Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit
	Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
	Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
	A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
	A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
	An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
	A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988.
	Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987
	Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
	Allyn, Mark R. et al., "Planning for people: Human factors in the design of a new service," Bell Laboratories Record, May 1980, pp. 155-161
	Hanson, Bruce L., et al., "No. 1A VSS New custom calling services," Bell Laboratories Record, June 1980, pp. 174-180

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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	00370/87	01/15/87	PCT	-----	-----	Yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Aarons, D., "The Voice of the 80's," PC Magazine, Volume 4, Number 5, March 5, 1985, p. 114 (A21707135)
	"ACD 'Magic' from AT&T's Merlin," Telecommunications Product Review, Volume 13, Number 4, April 1986 (A21708371)
	"Actor Promotes Phone Services," Hammond Louisiana Star, August 23, 1984 (A21708860)
	Allerbeck, M., "Experience with the Voice Mail System EMS 2000 Info - Results of an Acceptance Study," ISS '84 Florence, May 1984, Session 14 A, Paper 6, Page 1
	Allyn, Mark R., et al., "Human Factors in the Design of a New Service," Bell Laboratories Record, Volume 58, Number 8, May 1980 (A21709530)
	Amano, Fumio, et al., "Imagephone!!: Integrated Voice/Data Terminal With Hand-Drawing Man-Machine Interface," IEEE, 1985 (A03701430)
	Ambrosio, Johanna, "Electronic and Voice Mail; They're No Match for Each Other-Yet," Computerworld, May 19, 1986, p. 53 (A21708461)
	"American-Network: Files Complaint Against Pacific Northwest Bell," Business Wire, June 4, 1986 (A21708495)
	"American-Network: Signs Letter of Intent to Merge L D Communications Long Distance Service into the Company," Business Wire, August 14, 1984 (A21708856)
	Andrews, Edmund L., "Patents: Computer System Lets TV Audience Join Show," The New York Times, December 24, 1988 (A01331146)
	Arnst, Catherine, Press Release, Reuters, September 16, 1984 (A21708908)
	"AT&T Announces Major Additions to Telemarketing Products and Services," Telephone News, December 14, 1987 (A21723927)
	"AT&T; AT&T Announces New Software Enhancements," Business Wire, February 10, 1987 (A21707782)
	"AT&T Announces New Software Enhancements for its PBX," PR Newswire, February 10, 1987 (A21707779)
	"AT&T Computer System will be Produced Here," The Columbus Dispatch, September 9, 1985 (A01354694)
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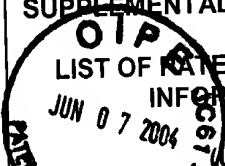
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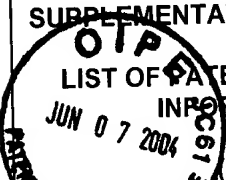
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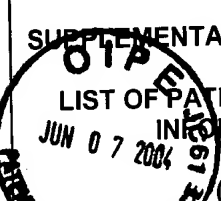
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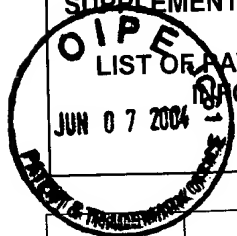
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SUPPLEMENTAL VII

APPLICANT:  
Ronald A. KATZ**RECEIVED**FILING DATE:  
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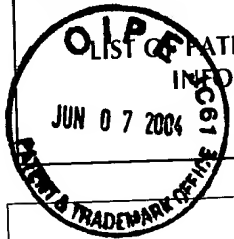
FORM PTO-1449		ATTY. DOCKET NO. 6646-101NJ	SERIAL NO. 09/040,509
SUPPLEMENTAL VII		<b>RECEIVED</b> APPLICANT: Ronald A. KATZ FILING DATE: March 17, 1998	
PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT JUN 07 2004 (Use several sheets if necessary)		GROUP: 2643 JUL 07 2004 Technology Center 2600	

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SUPPLEMENTAL VIII

ATTY. DOCKET NO.  
6646-101NJSERIAL NO.  
09/040,509APPLICANT:  
Ronald A. KATZFILING DATE:  
March 17, 1998GROUP:  
2643LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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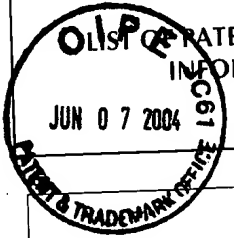
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL X</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL XI</b>  	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643

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**U.S. PATENT DOCUMENTS**

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	4,734,858	01/26/82	Schlaflly	_____	_____	_____
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**FOREIGN PATENT DOCUMENTS**

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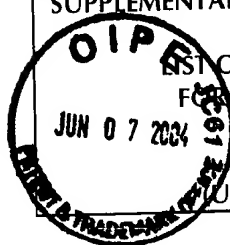
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SUPPLEMENTAL XII		<div style="float: right; font-size: 2em; font-weight: bold;">RECEIVED</div>	
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		FILING DATE: March 17, 1998	GROUP: 2643 <div style="text-align: right;">JUL 07 2004</div> <div style="text-align: right;">Technology Center 2600</div>

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		3,189,687	06/15/65	Miller	_____	_____	_____
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		3,929,278	12/30/75	Balavoine et al.	_____	_____	_____
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		3,985,998	10/12/76	Crafton	_____	_____	_____
		4,028,494	06/07/77	Zarouni	_____	_____	_____
		4,097,923	06/27/78	Eckert, Jr.	_____	_____	_____
		4,122,308	10/24/78	Weinberger et al.	_____	_____	_____
		4,139,739	02/13/79	von Meister	_____	_____	_____
		4,204,113	05/20/80	Giraud et al.	_____	_____	_____
		Re. 30,821	12/08/81	Goldman	_____	_____	_____
		4,367,402	01/04/83	Giraud et al.	_____	_____	_____
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		4,468,529	08/28/84	Samuel et al.	___	___	___
		4,501,958	02/26/85	Glize et al.	___	___	___
		4,513,175	04/23/85	Smith	___	___	___
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		4,926,996	05/22/90	Eglise et al.	___	___	___
		5,003,585	03/26/91	Richer	___	___	___
		5,068,891	11/26/91	Marshall	___	___	___
		5,153,906	10/06/92	Akiyama	___	___	___
		5,251,252	10/05/93	Katz	___	___	___

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		5,917,893	06/29/99	Katz	_____	_____	_____

## FOREIGN PATENT DOCUMENTS

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		48-90110	11/24/73	Japan				NO
		52-016941	02/08/77	Japan			YES	
		52-021738	02/18/77	Japan			YES	
		53-039808	04/12/78	Japan			YES	
		53-115109	10/07/78	Japan			YES	
		53-039808	10/24/78	Japan				NO
		53-132919	11/20/78	Japan				NO
		54-089509	07/16/79	Japan				NO
		55-107375	08/18/80	Japan			YES	
		55-166370	12/25/80	Japan				NO
		56-004969	01/19/81	Japan			YES	
		56-020371	02/25/81	Japan			YES	
		56-098966	08/08/81	Japan			YES	
		57-073471	05/08/82	Japan			YES	
		57-125567	08/04/82	Japan			YES	
		57-125569	08/04/82	Japan			YES	
		57-125570	08/04/82	Japan			YES	
		57-024157	05/22/82	Japan				NO

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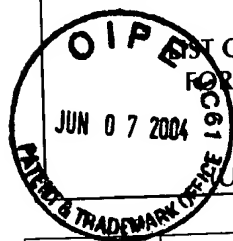
SUPPLEMENTAL XII

ATTY. DOCKET  
NO.  
6646-101NJSERIAL NO.  
09/040,509**RECEIVED**APPLICANT:  
Ronald A. KATZ

JUL 07 2004

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March 17, 1998GROUP:  
2643

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OFFICE OF PATENTS AND OTHER ITEMS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

		57-127903	08/09/82	Japan			YES	
		58-002136	01/07/83	Japan				NO
		58-003367	01/21/83	Japan			YES	
		58-165473	09/30/83	Japan			YES	
		58-221559	12/23/83	Japan			YES	
		59-016068	01/27/84	Japan			YES	
		59-108446	06/22/84	Japan			YES	
		59-190771	10/29/84	Japan			YES	
		59-208973	11/27/84	Japan			YES	
		60-010868	01/21/85	Japan			YES	
		60-035868	02/23/85	Japan			YES	
		60-014563	04/15/85	Japan				NO
		60-220655	11/05/85	Japan			YES	
		61-006163	02/24/86	Japan				NO
		61-210754	09/18/86	Japan			YES	
		CA 1143494	01/25/80	Canada			YES	
		DE 2351949A1	04/24/75	Germany			YES	
		DE 2503423A1	07/31/75	Germany				NO
		DE 2903450A1	08/07/80	Germany			YES	
		DE 2903479A1	08/07/80	Germany			YES	
		DE 3406615A1	08/30/84	Germany			YES	
		EP0009684A1	08/12/79	EPC			YES	

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(use several sheets if necessary)

	EP0015120A1	02/14/80	EPC			YES	
	EP0041261A1	06/01/81	EPC			YES	
	EP0048868A1	08/10/81	EPC				NO
	EP0060643A2	03/01/82	EPC			YES	
	EP0066823A1	05/29/82	EPC			YES	
	EP0088639A2	03/09/83	EPC			YES	
	FR 2349899A1	04/30/76	France				NO
	FR 2522850A2	03/03/82	France				NO
	FR 2542115A1	03/03/83	France				NO
	GB 1124945	09/17/68	United Kingdom			YES	
	GB 1352600	05/08/74	United Kingdom			YES	
	GB 1400654	07/23/75	United Kingdom			YES	
	GB 1442883	07/14/76	United Kingdom			YES	
	GB 1505718	03/30/78	United Kingdom			YES	
	GB 1520529	08/09/78	United Kingdom			YES	
	GB 1544542	04/19/79	United Kingdom			YES	
	GB 2046556B	11/12/80	United Kingdom			YES	
	GB 2057740	04/01/81	United Kingdom			YES	
	GB 2065353A	06/24/81	United Kingdom			YES	
	GB 2118341A	10/28/83	United Kingdom			YES	
	GB 2120507	11/30/83	United Kingdom			YES	
	GB 2141309A	12/12/84	United Kingdom			YES	
	IL 74048	01/13/85	Israel			YES	

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LIST OF PATENTS AND OTHER ITEMS  
FOR APPLICANT'S INFORMATION  
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(Use several sheets if necessary)

	IL 76993	12/08/95	Israel			YES	
	WO 08101664A1	06/25/81	PCT			YES	
	WO 08401073A1	03/15/84	PCT			YES	
	WO 08702208A1	04/09/87	PCT			YES	

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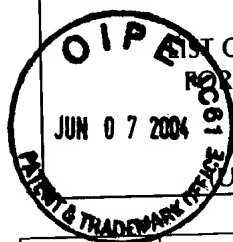
SUPPLEMENTAL XII

ATTY. DOCKET  
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March 17, 1998GROUP:  
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LIST OF PATENTS AND OTHER ITEMS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

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FORM PTO-1449  SUPPLEMENTAL XII  OFFICE OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101NJ	SERIAL NO. 09/040,509	<b>RECEIVED</b>  JUL 0 7 2004  Technology Center 2600
	APPLICANT: Ronald A. KATZ		
	FILING DATE: March 17, 1998	GROUP: 2643	

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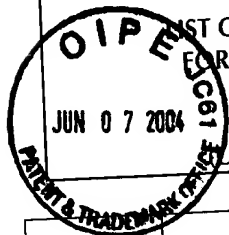
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FORM PTO-1449 SUPPLEMENTAL XII LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101NJ	SERIAL NO. 09/040,509
	APPLICANT: Ronald A. KATZ	
	FILING DATE: March 17, 1998	GROUP: 2643

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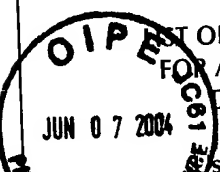
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SUPPLEMENTAL XII		<b>RECEIVED</b> JUL 07 2004 Technology Center 2600	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT: Ronald A. KATZ	FILING DATE: March 17, 1998
		GROUP: 2643	

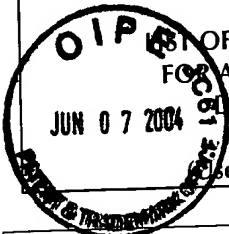
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FORM PTO-1449	ATTY. DOCKET NO. 6646-101NJ	SERIAL NO. 09/040,509	<b>RECEIVED</b>
SUPPLEMENTAL XIII	APPLICANT: Ronald A. KATZ		
 EST. OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		FILING DATE: March 17, 1998	JUL 07 2004 GROUP: 2643 Technology Center 2600

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,932,042	06/05/90	Baral et al.	_____	_____	_____
		5,243,643	09/07/93	Sattar et al.	_____	_____	_____
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL XIV</b>   <b>STATEMENT OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,555,198	01/12/71	Stepan	_____	_____	_____
		4,985,913	01/15/91	Shalom et al.	_____	_____	_____
		4,951,310	08/21/90	Honda et al.	_____	_____	_____
		5,029,196	07/02/91	Morganstein	_____	_____	_____
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SUPPLEMENTAL XV

ATTY. DOCKET  
NO.

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SERIAL NO.  
09/040,509

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APPLICANT:

Ronald A. KATZ

JUL 07 2004

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March 17, 1998

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LIST OF PATENTS AND OTHER ITEMS  
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DISCLOSURE STATEMENT

(Use several sheets if necessary)



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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	3,725,596	4/3/1973	Maxon et al.	-----	-----	11/12/1971
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	4,438,824	3/27/1984	Mueller-Schloer	-----	-----	4/22/1981
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
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	55-010246	1/24/1980	Japan			X (abstract only)	

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FORM PTO-1449 SUPPLEMENTAL XV  LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-101NJ	SERIAL NO. 09/040,509
	APPLICANT: Ronald A. KATZ	
	FILING DATE: March 17, 1998	GROUP: 2643

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							X (abstract only)	
	56-044958	4/24/1981	Japan				X (abstract only)	
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LIST OF PATENTS AND OTHER ITEMS  
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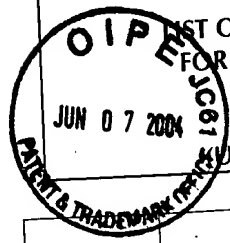
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<b>FORM PTO-1449</b> <b>SUPPLEMENTAL XV</b> <b>LIST OF PATENTS AND OTHER ITEMS</b> <b>FOR APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-101NJ	<b>SERIAL NO.</b> 09/040,509
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> March 17, 1998	<b>GROUP:</b> 2643



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SUPPLEMENTAL IV

INFORMATION DISCLOSURE  
CITATION IN AN APPLICATIONDocket No. (optional)  
228/020 (prev. 6646-101N1)Applicant  
Ronald A. Katz  
Filing Date  
April 10, 1989

Application No.

07/335,923

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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228/021 (prev. 9002-1B670USG  
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F1	4,054,756	10/18/77	Comella et al.			
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2 230 403 A	10/17/90	United Kingdom			
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

OTHER DOCUMENTS (Including Author, Title, Date, Publication)	
	"Let your fingers do the tapping... ..and the computer the talking," Modern Office Tech., May 1984 at 80
	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36
EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



FORM PTO-1449

SUPPLEMENTAL IV

INFORMATION DISCLOSURE  
CITATION IN AN APPLICATIONDocket No. (optional)  
228/020 (prev. 6646-101N1)Applicant  
Ronald A. KatzFiling Date  
April 10, 1989

Application No.

07/335,923

Group Art Unit

2742

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
71	4,899,375	02/06/90	Bauer et al.			
	4,942,598	07/17/90	Davis			
	4,964,157	10/16/90	Aoshima			
	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.			
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et al.			
	5,109,414	04/28/92	Harvey et al.			
71	5,127,003	06/30/92	Doll, Jr. et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED

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FORM PTO-1449

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Applicant  
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
F7	5,146,491	09/08/92	Silver et al.			
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
	5,353,335	10/04/94	D'Urso et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

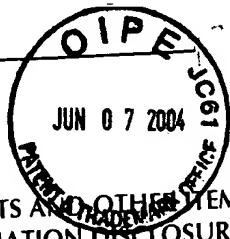
DATE CONSIDERED

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FORM PTO-1449

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)



ATTY. DOCKET NO.

228/020

(prev. 6646-101N1)

SERIAL NO.

07/335,923

APPLICANT:

Ronald A. Katz

FILING DATE:

April 10, 1989

GROUP:

2742

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
FT	4,625,081	11/25/86	Lotito et al.			
	4,689,742	08/25/87	Troy et al.			
	4,710,955	12/01/87	Kauffman			
	4,832,341	05/23/89	Muller			
	4,850,007	07/18/89	Marino et al.			
	4,916,726	04/10/90	Morley, Jr. et al.			
	4,926,462	05/15/90	Ladd et al.			
	5,000,486	03/19/91	Rua, Jr. et al.			
	5,128,984	07/07/92	Katz			
	5,403,999	04/04/95	Entenmann et al.			
	5,415,416	05/16/95	Scagnelli et al.			
	5,475,205	12/12/95	Behm et al.			
	5,490,207	02/06/96	Schorr			
	5,537,143	07/16/96	Steingold et al.			
	5,599,046	02/14/97	Behm et al.			
	5,709,603	01/20/98	Kaye			
	5,787,156	07/28/98	Katz			
FT	5,835,576	11/10/98	Katz			

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FORM PTO-1449

SUPPLEMENTAL V

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ATTY. DOC. NO.  
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APPLICANT:  
Ronald A. Katz

FILING DATE:  
April 10, 1989

GROUP:  
2742

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
		32 25 562	01/19/84	Germany			YES	
		0 217 308 A2	04/08/87	Europe			YES	
		0 917 335 A2	05/19/99	Europe			YES	
		246067/1988	10/13/88	Japan			YES	
		009353/1988	01/16/88	Japan			YES	
		117436/1989	05/10/89	Japan			YES	
		54-62708	05/21/79	Japan			YES	
		52-17739	02/09/77	Japan			YES	
		59-83270	05/14/84	Japan			YES	
		62-92654	04/28/87	Japan			YES	
		62-98848	05/08/87	Japan			YES	
		63-114442	05/19/88	Japan			YES	

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Basinger, R. G., et al., "Calling Card Service - Overall Description and Operational Characteristics", The Bell System Technical Journal, September, 1982
	Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation", The Bell System Technical Journal, September, 1982
	Eigen, D.J., et al., "Calling Card Service - Human Factors Studies", The Bell Technical Journal, September, 1982
	Lexis Search , 11/1/84, re: System 85 Computer Process
	Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit

EXAMINER:

DATE CONSIDERED:

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FORM PTO-1449

SUPPLEMENTAL V

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	32 25 562	01/19/84	Germany			YES	
	0 217 308 A2	04/08/87	Europe			YES	
	0 917 335 A2	05/19/99	Europe			YES	
	246067/1988	10/13/88	Japan			YES	
	009353/1988	01/16/88	Japan			YES	
	117436/1989	05/10/89	Japan			YES	
	54-62708	05/21/79	Japan			YES	
	52-17739	02/09/77	Japan			YES	
	59-83270	05/14/84	Japan			YES	
	62-92654	04/28/87	Japan			YES	
	62-98848	05/08/87	Japan			YES	
	63-114442	05/19/88	Japan			YES	

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Basinger, R. G., et al., "Calling Card Service - Overall Description and Operational Characteristics", The Bell System Technical Journal, September, 1982
	Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation", The Bell System Technical Journal, September, 1982
	Eigen, D.J., et al., "Calling Card Service - Human Factors Studies", The Bell Technical Journal, September, 1982
	Lexis Search , 11/1/84, re: System 85 Computer Process
	Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit

EXAMINER:

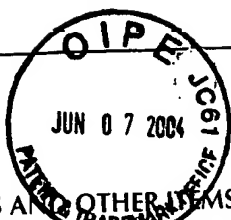
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228/020

(prev. 6646-101N1)

SERIAL NO.

07/335,923

APPLICANT:

Ronald A. Katz

FILING DATE:

April 10, 1989

GROUP:

2742

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game would Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings

Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings

A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.

A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.

An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.

A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988.

Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987

"AT&T's Response to Plaintiff's Second Set of Interrogatories to Defendant AT&T Corp. (Nos. 17-18)", Ronald A. Katz Technology Licensing, L.P. and MCI Telecommunications Corp., Civil Action No. 97-4453 (USDC, ED PA)

"AT&T's Preliminary Prior Art Invalidity Contentions Regarding Select Claims For Initial Determination", Ronald A. Katz Technology Licensing, L.P. and MCI Telecommunications Corp., Civil Action No. 97-4453 (USDC, ED PA)

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LIST OF PATENTS AND PUBLISHED DOCUMENTS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
228/04( )  
(prev. 6646-114N5)SERIAL NO.  
08/306,456APPLICANT:  
Ronald A. KatzFILING DATE:  
September 14, 1994GROUP:  
2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
	4,761,807	08/1988	Matthews, et al.	-----	-----	-----
	4,782,519	11/1988	Patel, et al.	-----	-----	-----
	4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
	5,033,088	07/1991	Shipman	-----	-----	-----
	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
	5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
S	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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LIST OF PATENTS AND OTHER INFORMATION FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
228/04  
(prev. 6046-114N5)SERIAL NO.  
08/306,456APPLICANT:  
Ronald A. KatzFILING DATE:  
September 14, 1994GROUP:  
2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	5,186,471	02/1993	Vancraeynest	-----	-----	-----
	5,214,689	05/1993	O'Sullivan	-----	-----	-----
	5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
	5,289,531	02/1994	Levine	-----	-----	-----
	5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
	5,361,295	11/1994	Solomon, et al.	-----	-----	-----
	5,369,685	11/1994	Kero	-----	-----	-----
	5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
	5,511,112	04/1996	Szlam	-----	-----	-----
	5,537,143	07/1996	Steingold, et al.	-----	-----	-----
	5,561,710	10/1996	Helms	-----	-----	-----
	5,623,536	04/1997	Solomon, et al.	-----	-----	-----
	5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
S	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
	WO 92/06548	04/1992	PCT	-----	-----	Yes

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SUPPLEMENTAL VA

LIST OF PATENTS AND OTHER INFORMATION OR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.  
228/046  
(prev. 6640-114N5)

SERIAL NO.  
08/306,456

APPLICANT:  
Ronald A. Katz

**FILING DATE:**  
September 14, 1994

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## FOREIGN PATENT DOCUMENTS

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**Information Disclosure Statement – Section 9 PTO-1449**

LA-175540.1

Page 7 of 4

## SUPPLEMENTAL VI

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENTAPPLICANT:  
Ronald A. KatzFILING DATE:  
September 14, 1994GROUP:  
2643

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,832,341	05/23/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----
	5,787,156	07/28/98	Katz	-----	-----	-----
Sw	5,790,636	08/1998	Marshall	-----	-----	-----

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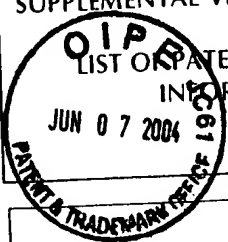
DATE CONSIDERED:

2-24-01

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ATTY. DOCKET NO.  
228/0461  
(prev. 6646-114N5)SERIAL NO.  
08/306,456

## SUPPLEMENTAL VI

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:  
Ronald A. KatzFILING DATE:  
September 14, 1994GROUP:  
2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	5,815,551	09/1998	Katz	-----	-----	-----
	5,835,576	11/10/98	Katz	-----	-----	-----

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## FOREIGN PATENT DOCUMENTS

Technology Center 2600

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	32 25 562	01/19/84	Germany	-----	-----	No
	2 252 270 B	08/1992	Great Britain	-----	-----	yes
	0 217 308 A2	04/08/87	Europe	-----	-----	yes
	0 917 335 A2	05/19/99	Europe	-----	-----	yes
	246067/1988	10/13/88	Japan	-----	-----	No
	009353/1988	01/16/88	Japan	-----	-----	No
	117436/1989	05/10/89	Japan	-----	-----	No
	54-62708	05/21/79	Japan	-----	-----	No
	52-17739	02/09/77	Japan	-----	-----	No
	59-83270	05/14/84	Japan	-----	-----	No
	62-92654	04/28/87	Japan	-----	-----	No
	62-98848	05/08/87	Japan	-----	-----	No
Sw	63-114442	05/19/88	Japan	-----	-----	No

EXAMINER:

Stella Ubo

DATE CONSIDERED:

2-24-01

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FORM PTO-1449

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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INFORMATION  
DISCLOSURE  
CITATION IN AN  
APPLICATION

SUPPLEMENTAL VII

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
ST	WO 87/00370	01/15 /1987	PCT (Int. Application)	-----	-----	-----

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Aarons, D., "The Voice of the 80's," PC Magazine, Volume 4, Number 5, March 5, 1985, p. 114 (A21707135)
	"ACD 'Magic' from AT&T's Merlin," Telecommunications Product Review, Volume 13, Number 4, April 1986 (A21708371)
	"Actor Promotes Phone Services," Hammond Louisiana Star, August 23, 1984 (A21708860)
	Allerbeck, M., "Experience with the Voice Mail System EMS 2000 Info - Results of an Acceptance Study," ISS '84 Florence, May 1984, Session 14 A, Paper 6, Page 1
	Allyn, Mark R., et al., "Human Factors in the Design of a New Service," Bell Laboratories Record, Volume 58, Number 8, May 1980 (A21709530)
	Amano, Fumio, et al., "Imagephone!!: Integrated Voice/Data Terminal With Hand-Drawing Man-Machine Interface," IEEE, 1985 (A03701430)
	Ambrosio, Johanna, "Electronic and Voice Mail; They're No Match for Each Other-Yet," Computerworld, May 19, 1986, p. 53 (A21708461)
	"American-Network: Files Complaint Against Pacific Northwest Bell," Business Wire, June 4, 1986 (A21708495)
	"American-Network: Signs Letter of Intent to Merge L D Communications Long Distance Service into the Company," Business Wire, August 14, 1984 (A21708856)
	Andrews, Edmund L., "Patents: Computer System Lets TV Audience Join Show," The New York Times, December 24, 1988 (A01331146)
	Arnst, Catherine, Untitled Article, Reuters, September 16, 1984 (A21708908)
SW	"AT&T Announces Major Additions to Telemarketing Products and Services," Telephone News, December 14, 1987 (A21723927)

EXAMINER

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228/046

(prev. 6646-114N5)

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08/306,456

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INFORMATION  
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SUPPLEMENTAL VII

## U.S. PATENT DOCUMENTS

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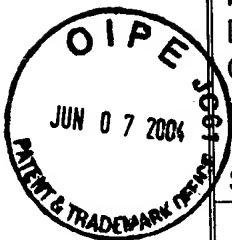
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FORM PTO-1449

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Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

2643

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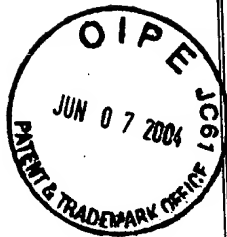
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Ronald A. Katz		<b>RECEIVED</b> JUL 07 2004 Technology Center 2600		
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Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

2643

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FORM PTO-1449

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228/046

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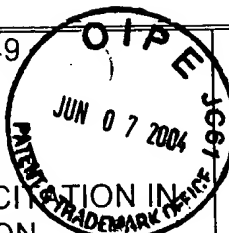
FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT AN APPLICATION  SUPPLEMENTAL VII		<div style="text-align: center;">  </div> Docket No. (optional) 228/046 (prev. 6646-114N5)  Applicant Ronald A. Katz  Filing Date 09/14/1994		Application No. 09/021,956  Group Art Unit 2643  <div style="text-align: center; font-size: 1.2em;"> <b>RECEIVED</b>          JUL 07 2004          Technology Center 2600       </div>		
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FORM PTO-1449 <b>OTPE</b> JUN 07 2004 INFORMATION DISCLOSURE IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643 <div style="text-align: center; font-size: 1.5em; font-weight: bold;">RECEIVED</div> JUL 07 2004 Technology Center 2600		
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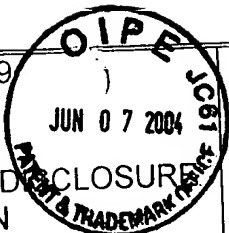
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<div style="font-size: 1.5em; font-weight: bold; margin-bottom: 10px;">S</div> <div style="border-left: 1px solid black; height: 100%; width: 1px;"></div>	"Special Information Tones Provide Computer with Vital Call Data," Bell Laboratories Record, November 1981 (A21710768) Staehler, R. E., "Toward a More Automated Network - TSPS Enhancements Lead the Way," Telephony, February 8, 1982, pp. 45-48 (A21725941) "The Stamp of Approval for Voicemail," Article Source Unknown, (A21707760) Stern, Aimee, "Cable Operators Fight Back; Pay-Per-View TV," Dun's Business Month, Volume 129, February 1987 (A21707748) Stewart, Alan, "Signaling Changes for Interconnects; NATA 86 Trade Show," Telephone Engineer and Management, Volume 90, December 15, 1986, p. 72 (A21707569) Stix, Gary, "Many Bands = Light Work," Computer Decisions, Volume 17, September 10, 1985, p. 92 (A21708015) Press Release, Communications Daily, Volume 5, Number 148, July 31, 1985, p. 7 (A21708033) repeated (A21724666) "International Information Network Earnings," PR Newswire, September 30, 1985 (A21708033) repeated (A21724666) "International Information Sets Financing Program," PR Newswire, October 22, 1985 (A21708034) repeated (A21724667) Stoffels, Bob, "REA Takes its Show on the Road: Engineering and Management Seminars," Telephone Engineer & Management, Volume 88, May 15, 1984, p. 129 (A21708746) "Strike Three," S. F. Progress, August 7, 1985 (A21706708) Strom, David, "Telephone or MIS Managers: Who Flips the PBX Switch; Management and Use of New Communications Technology; Connectivity - Focus on LANs," PC Week, Volume 4, February 17, 1987, p. C1 (A21707798) <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">S</div>
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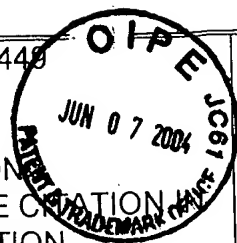
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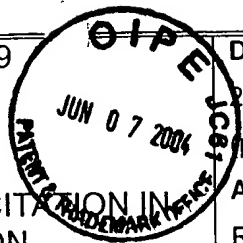
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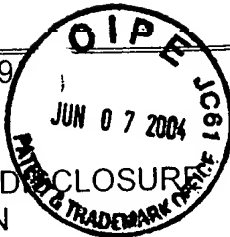
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CITATION IN AN APPLICATION

SUPPLEMENTAL VII

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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(Use several sheets if necessary)

APPLICANT:  
Ronald A. KatzFILING DATE:  
September 14, 1994GROUP:  
2643

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SUPPLEMENTAL X

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

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ATP DOCKET NO.  
8846-108N5SERIAL NO.  
09/317,807APPLICANT:  
Ronald A. KATZFILING DATE:  
May 24, 1999GROUP:  
2643


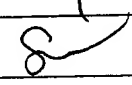
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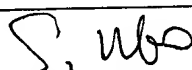
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FORM PTO-1449 SUPPLEMENTAL XII LIST OF PATENTS AND OTHER ITEMS OR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 6646-108N5	SERIAL NO. 09/317,807
	APPLICANT: Ronald A. KATZ	
	FILING DATE: May 24, 1999	GROUP: 2643

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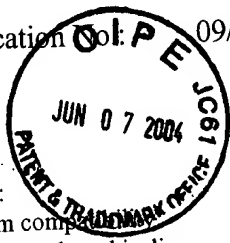
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## I. Supplemental IV

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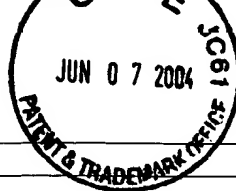
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## II. Supplemental IX

## III. Supplemental V

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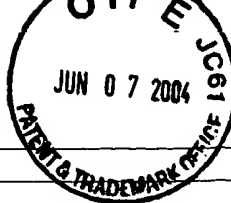
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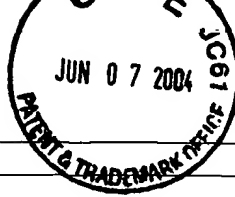
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3829628 Tripsas.pdf	Adobe Acrobat Document	597 KB	11/08/2002
4565903 Riley.pdf	Adobe Acrobat Document	3868 KB	11/08/2002
4581486 Matthews.pdf	Adobe Acrobat Document	9990 KB	11/08/2002
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4785473 Pfeiffer.pdf	Adobe Acrobat Document	1560 KB	11/08/2002
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<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
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<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
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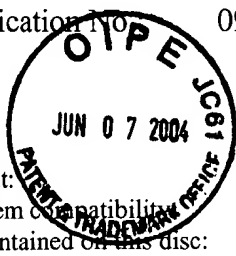
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a. US Patent Documents

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4313035 Jordan.pdf	Adobe Acrobat Document	1454 KB	11/15/2002
4734858 Schlafly.pdf	Adobe Acrobat Document	1587 KB	11/15/2002

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INFORMATION FOR COMPACT DISC 2 OF 3

Machine format:  
 Operating system compatibility:  
 List of Files contained on this disc:

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 MS-Windows

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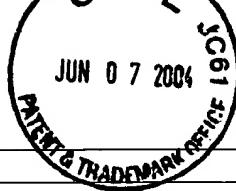
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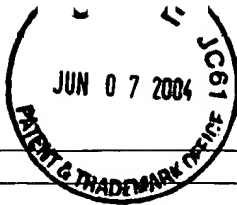
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Machine format: IBM-PC  
 Operating system compatibility: MS-Windows  
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<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
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**b. Foreign Patent Documents**

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SUP XV, PAGE 6 - Letter from Steinhoff .pdf	Adobe Acrobat Document	254 KB	06/02/2004
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